

**Harford County Public Schools 457(b) Plan**

# Rollover Request

## Section I Plan Information

PLEASE PRINT CLEARLY

### SPECIAL NOTES

- Carefully read the **rollover notice you received from your distributing plan** before you complete the following sections on the **Rollover Request**. The choices you make will affect the taxes you owe.
- If you have investment elections on file and do not correctly complete Section II of this form, your rollover will be deposited into the investment elections you have on file. If you do not have investment elections on file and do not correctly complete Section II of this form, your rollover will be deposited into the default investment option designated by your employer.

### Step 1: Tell us about yourself

Name: \_\_\_\_\_ SS#: - -

Last First M.I.

Address: \_\_\_\_\_

Street City State Zip

Birth date: / / Date of hire: / /

Married  Not married | Daytime phone: ( ) - - Evening phone: ( ) - -

### Step 2: What was your former plan (Please complete all of Step 2.)

Amount of rollover:  \$ \_\_\_\_\_ or  %

I am requesting a **rollover** of:

pre-tax contributions from a 401(k) plan  pre-tax contributions from an IRA

pre-tax contributions from a 403(b) plan  pre-tax contributions from a 457(b) governmental plan

pre-tax contributions from a 401(a) plan

**Note: After-tax and Roth rollovers are not allowed for this plan.**

The account I want to roll over my money from is with (check one):  Lincoln Life  Other

Former employer's name: \_\_\_\_\_ Phone: ( )

Previous Account number(s): \_\_\_\_\_

Name of annuity provider, custodian or trustee: \_\_\_\_\_

Contact person: \_\_\_\_\_ Phone: ( ) Email: \_\_\_\_\_

Address: \_\_\_\_\_

Street City State Zip

**You must provide one of the following forms of documentation in order to process your rollover:**

- Copy of most recent statement from the prior plan  
*(Documentation must clearly confirm type of plan, i.e., 401(k), 403(b), 457(b) governmental plan or IRA)*
- Letter from prior plan sponsor indicating the type of plan where rollover originated
- Copy of prior plan sponsor's IRS determination letter

**Failure to provide one of the above forms of supporting information will delay the processing of your rollover request until such supporting information is received.**

*(Please see reverse side)*

**Step 3: Signatures**

- I verify that this transaction contains only eligible rollover dollars. I have read the above information and authorize the investment of my rollover contribution solely for my benefit, based on my investment elections in Section II of this form. I have read and I understand the **rollover notice I received from my distributing plan**. I request to have this transaction processed immediately.
- I understand that my participation, including my rollover contribution and any associated earnings, will be governed by the provisions contained in the receiving retirement plan.
- I verify that this rollover was transferred within 60 days after I received such payment, if applicable.

**X** \_\_\_\_\_ Date \_\_\_\_\_  
Participant's signature

**X** \_\_\_\_\_ Agent Code (If Any) \_\_\_\_\_  
RC's/Agent's Name

**Trustee acceptance**

Be advised that the Wilmington Trust Co. is acting as trustee/custodian and is willing to accept the proceeds from the above-referenced plan or account into the trust/custodial account, in the *Lincoln Alliance*<sup>®</sup> program.

**Return this form to:**

Harford County Public Schools  
c/o Lincoln Retirement Services Co.  
PO Box 7876  
Fort Wayne, IN 46801-7876

Instructions for former provider  
**Please make check payable to:**  
Wilmington Trust for the benefit of  
Harford County Public Schools 457(b) Plan  
(participant's name), (participant's Social Security number)  
**Please mail check to:**  
Harford County Public Schools  
Lincoln Retirement Services Co.  
PO Box 7876  
Fort Wayne, IN 46801-7876

(Please see next page)

Harford County Public Schools 457(b) Plan

# Rollover Request

## Section II Investment Allocation

Name: \_\_\_\_\_ SS#: \_\_\_\_\_  
Last First M.I.

### Step 4: Where should your rollover go

**INVESTMENT ELECTIONS:** I authorize my transferred assets to be invested in the retirement plan in the following manner:

**NOTE:** Indicate investment elections in whole percentages. Total must equal 100%, in increments of 1%. If you have investment elections on file and do not correctly complete Section II of this form, your transfer will be deposited into the investment elections you have on file. If you do not have investment elections on file and do not correctly complete Section II of this form, your transfer will be deposited into the default investment option designated by your employer. You will then be able to move your assets out of that investment option.

If you select a *LifeSpan*<sup>®</sup> Model your existing balance and all future contributions will be allocated to the *LifeSpan*<sup>®</sup> Model you select.

I understand that transfers and/or withdrawals from the Lincoln Life Fixed Annuity may be restricted to 20% of the account balance during a 12-month period **OR** that certain withdrawals from the Lincoln Stable Value Account may be restricted by a 90-day equity wash.

**Option 1: Use a *LifeSpan*<sup>®</sup> Asset Allocation Model. Please select only one model.**

2012 Conservative Model <input type="checkbox"/> 100%	2012 Moderate Model <input type="checkbox"/> 100%	2012 Aggressive Model <input type="checkbox"/> 100%
2022 Conservative Model <input type="checkbox"/> 100%	2022 Moderate Model <input type="checkbox"/> 100%	2022 Aggressive Model <input type="checkbox"/> 100%
2032 Conservative Model <input type="checkbox"/> 100%	2032 Moderate Model <input type="checkbox"/> 100%	2032 Aggressive Model <input type="checkbox"/> 100%

**Option 2: Determine your own asset allocation percentages.**

Fill in your own investment percentages. Your percentages must add up to 100% in increments of 1%.

*(If you complete Option 1, do not complete Option 2.)*

Percentages	Investment Options
<b>Cash and Stable Value Investments</b>	
%	Lincoln Stable Value Account
<b>Bond-based Investments</b>	
%	Delaware Corporate Bond A
%	Dreyfus Bond Market Index Basic
<b>Stock -based Investments</b>	
%	American Funds EuroPacific Gr R4
%	American Funds Growth Fund of Amer R4
%	Baron Asset Retail
%	Columbia Acorn USA Z
%	Columbia Small Cap Value I A
%	Davis NY Venture A
%	Goldman Sachs Mid Cap Value A
%	Invesco Van Kampen Growth and Income R
%	Vanguard 500 Index Investor
<b>100%</b>	

### Step 5: Sign your name

X  
 \_\_\_\_\_  
 Participant's signature Date

**Return this form to:** Harford County Public Schools, c/o Lincoln Retirement Services Co., PO Box 7876, Fort Wayne, IN 46801-7876

Mutual funds in the *Lincoln Alliance*<sup>®</sup> program are sold by prospectus. An investor should carefully consider the investment objectives, risks, and charges and expenses of the investment company before investing. The prospectus contains this and other important information and should be read carefully before investing or sending money. Investment values will fluctuate with changes in market conditions, so that upon withdrawal, your investment may be worth more or less than the amount originally invested. Prospectuses for any of the mutual funds in the *Lincoln Alliance*<sup>®</sup> program are available at 800 234-3500.

The program includes certain services provided by Lincoln Financial Advisors Corp. (LFA), a broker-dealer (member FINRA) and an affiliate of Lincoln Financial Group, 1300 S. Clinton St., Fort Wayne, IN 46802. Unaffiliated broker-dealers also may provide services to customers.

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## HPS-002 ROLLOVER

The *LifeSpan*<sup>®</sup> Asset Allocation Models are designed for investors planning to retire or to start withdrawing their money close to the year indicated in the name of the fund. The manager invests each *LifeSpan*<sup>®</sup> Asset Allocation Model in accordance with an asset allocation between stocks (equity) and bonds (fixed income), which will become more conservative over time as the target maturity date draws closer and following that date, as the investor moves further into retirement. In addition, the manager provides a Conservative, Moderate, and Aggressive Model for each target maturity date to account for participants' risk tolerance.

The *LifeSpan*<sup>®</sup> Asset Allocation Models' mixture of investments is designed to reduce the volatility of investment returns while still providing the potential for higher long-term total returns that are more likely to be achieved by including some exposure to stocks. The principal value of the *LifeSpan*<sup>®</sup> Asset Allocation Models will fluctuate with market conditions and is not guaranteed.

The Stable Value Account is a fixed annuity issued by The Lincoln National Life Insurance Company, Fort Wayne, IN, 46802 on Form 28866-SV and state variations thereof. Guarantees are based upon the claims-paying ability of the issuer.

Wilmington Trust Company is not an affiliate of Lincoln Financial Group.

Lincoln Retirement Services Company, LLC is an affiliate of Lincoln National Corporation.

Lincoln Financial Group is the marketing name for Lincoln National Corporation and its affiliates. Affiliates are separately responsible for their own financial and contractual obligations.